

IN THE UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

BRIAN N. DRAKE,)	
Petitioner,)	The Honorable Robert E. Keeton
)	
v.)	Case No. 04-11426 REK
)	
UBS FINANCIAL SERVICES INC.,)	
Respondent.)	

STIPULATION AND REQUEST FOR ORDER

Petitioner Brian N. Drake ("Drake") and Respondent UBS Financial Services Inc. ("UBS"), for their Stipulation and Request for Order, reached after conferring pursuant to L.R. 7.1, state as follows:

1. On May 20, 2004, Drake filed his Complaint and Application to Vacate ("Complaint") captioned "Brian N. Drake v. UBS Financial Services Inc.," Civil Case No. 04-00290 in the Barnstable County Superior Court, Massachusetts.

2. On June 10, 2004, William Jacobson, Drake's counsel, agreed to extend the time for UBS to answer or otherwise plead to the Complaint.

3. On June 22, 2004, UBS removed Drake's action to this Court.

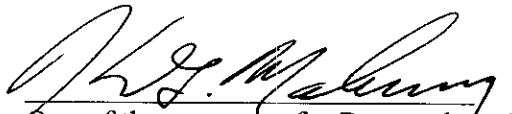
4. UBS intended to file a motion to dismiss Drake's Complaint and Application (Motion) to Vacate pursuant to Rule 12(b)(6) of the Fed.R.Civ.P. for failure to state a claim upon which relief may be granted.

5. Pursuant to L.R. 7.1, prior to filing such motion, counsel for UBS conferred with Drake's counsel and the parties agreed as follows: (1) Due to difficulties in obtaining transcription of the hearing transcript, Drake may have an extension to file an Amended Complaint and Application (Motion) to Vacate on or before August 20, 2004, while reserving all

rights as to the sufficiency of the Complaint and Application (Motion) to Vacate; and (2) UBS is excused from answering or otherwise pleading in response to the Complaint and Application (Motion) to Vacate, while reserving all rights, defenses, affirmative defenses and counter-claims thereto.

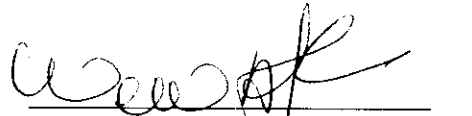
WHEREFORE, Petitioner Brian N. Drake and Respondent UBS Financial Services Inc. respectfully request that the Court enter an Order accepting this Stipulation and ordering that (1) Drake may file an Amended Complaint and Application (Motion) to Vacate on or before August 20, 2004, while reserving all rights as to the sufficiency of the Complaint and Application (Motion) to Vacate; and (2) UBS is excused from answering or otherwise pleading in response to the Complaint and Application (Motion) to Vacate, while reserving all rights, defenses, affirmative defenses and counter-claims thereto.

Respectfully submitted:



One of the attorneys for Respondent
UBS Financial Services Inc.

Kevin G. Mahoney
Menard, Murphy & Walsh LLP
60 State Street
34th Floor
Boston, MA 02109
Tel.: (617) 832-2500
Fax: (617) 832-2550



One of the attorneys for Petitioner
Brian N. Drake

William A. Jacobson
Law Offices of William A. Jacobson
850 Turks Head Building
Providence, RI 02903
Tel.: (401) 490-7500
Fax: (401) 490-5680

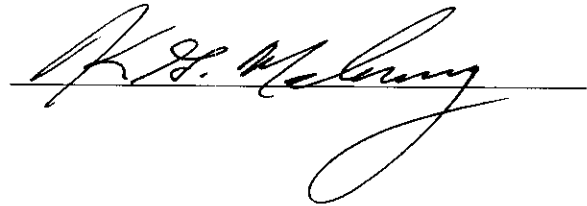
OF COUNSEL:
William M. Ejzak
Schuyler, Roche & Zwirner, P.C.
Suite 3800, One Prudential Plaza
130 East Randolph Street
Chicago, Illinois 60601
Tel: (312) 565-2400
Fax: (312) 565-8300

Certificate Of Service

The undersigned hereby certifies that on ~~July~~ ^{August 5} ~~_____~~, 2004, he caused a copy of the foregoing Stipulation and Request for Order to be served upon:

William A. Jacobson
Shanna L. Pitts
Law Offices of William A. Jacobson, Inc.
850 Turks Head Building
Providence, Rhode Island 02903

by facsimile and placing true copies of same in a properly addressed, postage prepaid envelope, and causing that envelope to be deposited in the United States Mail at 60 ^{State Street}, Boston, Massachusetts.

A handwritten signature in cursive script, appearing to read "R. J. McHenry", is written over a horizontal line.

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